

# THE GEOMETRIC BOGOMOLOV CONJECTURE

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ABSTRACT. We prove the geometric Bogomolov conjecture over a function field of characteristic zero.

## 1. INTRODUCTION

### 1.1. The geometric Bogomolov conjecture.

1.1.1. *Abelian varieties and heights.* Let  $\mathbf{k}$  be an algebraically closed field. Let  $B$  be an irreducible normal projective variety over  $\mathbf{k}$  of dimension  $d_B \geq 1$ . Let  $K := \mathbf{k}(B)$  be the function field of  $B$ . Let  $A$  be an abelian variety defined over  $K$  of dimension  $g$ . Fix an ample line bundle  $M$  on  $B$ , and a symmetric ample line bundle  $L$  on  $A$ .

Denote by  $\hat{h} : A(\bar{K}) \rightarrow [0, +\infty)$  the canonical height on  $A$  with respect to  $L$  and  $M$  where  $\bar{K}$  is an algebraic closure of  $K$  (see Section 3.1). For any irreducible subvariety  $X$  of  $A_{\bar{K}}$  and any  $\varepsilon > 0$ , we set

$$X_\varepsilon := \{x \in X(\bar{K}) \mid \hat{h}(x) < \varepsilon\}. \quad (1.1)$$

Set  $A_{\bar{K}} = A \otimes_K \bar{K}$ , and denote by  $(A^{\bar{K}/\mathbf{k}}, \text{tr})$  the  $\bar{K}/\mathbf{k}$ -trace of  $A_{\bar{K}}$ : it is the final object of the category of pairs  $(C, f)$ , where  $C$  is an abelian variety over  $\mathbf{k}$  and  $f$  is a morphism from  $C \otimes_{\mathbf{k}} \bar{K}$  to  $A_{\bar{K}}$  (see [12]). If  $\text{char } \mathbf{k} = 0$ ,  $\text{tr}$  is a closed immersion and  $A^{\bar{K}/\mathbf{k}} \otimes_{\mathbf{k}} \bar{K}$  can be naturally viewed as an abelian subvariety of  $A_{\bar{K}}$ . By definition, a **torsion coset** of  $A$  is a translate  $a + C$  of an abelian subvariety  $C \subset A$  by a torsion point  $a$ . An irreducible subvariety  $X$  of  $A_{\bar{K}}$  is said to be **special** if

$$X = \text{tr}(Y \otimes_{\mathbf{k}} \bar{K}) + T \quad (1.2)$$

for some torsion coset  $T$  of  $A_{\bar{K}}$  and some subvariety  $Y$  of  $A^{\bar{K}/\mathbf{k}}$ . When  $X$  is special,  $X_\varepsilon$  is Zariski dense in  $X$  for all  $\varepsilon > 0$  ([12, Theorem 5.4, Chapter 6]).

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1.1.2. *Bogomolov conjecture.* The following conjecture was proposed by Yamaki [19, Conjecture 0.3], but particular instances of it were studied earlier by Gubler in [9]. It is an analog over function fields of the Bogomolov conjecture which was proved by Ullmo [15] and Zhang [25].

**Geometric Bogomolov Conjecture.**– *Let  $X$  be an irreducible subvariety of  $A_{\bar{K}}$ . If  $X$  is not special there exists  $\varepsilon > 0$  such that  $X_\varepsilon$  is not Zariski dense in  $X$ .*

The aim of this paper is to prove the geometric Bogomolov conjecture over a function field of characteristic zero.

**Theorem A.** *Assume that  $\mathbf{k}$  is an algebraically closed field of characteristic 0. Let  $X$  be an irreducible subvariety of  $A_{\bar{K}}$ . If  $X$  is not special then there exists  $\varepsilon > 0$  such that  $X_\varepsilon$  is not Zariski dense in  $X$ .*

1.1.3. *Historical note.* Gubler proved the geometric Bogomolov conjecture in [9] when  $A$  is totally degenerate at some place of  $K$ . When  $\dim B = 1$  and  $X \subset A$  is a curve in its Jacobian, Yamaki proved it for nonhyperelliptic curves of genus 3 in [17] and for any hyperelliptic curve in [18]. If moreover  $\text{char } \mathbf{k} = 0$ , Faber [5] proved it if  $X$  is a curve of genus at most 4 and Cinkir [2] covered the case of arbitrary genus. Later on Yamaki proved the cases  $(\text{co}) \dim X = 1$  [23] and  $\dim(A_{\bar{K}/\mathbf{k}}) \geq \dim(A) - 5$  [22]; in [21], he reduced the conjecture to the case of abelian varieties with trivial  $\bar{K}/k$ -trace and good reduction everywhere. In [11], the third-named author gave a new proof of this conjecture in characteristic 0 when  $A$  is the power of an elliptic curve and  $\dim B = 1$ , introducing the original idea of considering the Betti map and its monodromy. Recently, the second and the third-named authors [6] proved the conjecture in the case  $\text{char } \mathbf{k} = 0$  and  $\dim B = 1$ .

## 1.2. An overview of the proof of Theorem A.

1.2.1. *Notation.* From now on, the algebraically closed field  $\mathbf{k}$  has characteristic 0. There exists an algebraically closed subfield  $\mathbf{k}'$  of  $\mathbf{k}$  such that  $B, A, X, M$  and  $L$  are defined over  $\mathbf{k}'$  and the transcendental degree of  $\mathbf{k}'$  over  $\bar{\mathbf{Q}}$  is finite. In particular,  $\mathbf{k}'$  can be embedded in the complex field  $\mathbf{C}$ . Thus, *in the rest of the paper, we assume  $\mathbf{k} = \mathbf{C}$  and we denote by  $K$  the function field  $\mathbf{C}(B)$ .*

Let  $\pi : \mathcal{A} \rightarrow B$  be an irreducible projective scheme over  $B$  whose generic fiber is isomorphic to  $A$ . We may assume that  $\mathcal{A}$  is normal, and we fix an ample line bundle  $\mathcal{L}$  on  $\mathcal{A}$  such that  $\mathcal{L}|_A = L$ . For  $b \in B$ , we set  $\mathcal{A}_b := \pi^{-1}(b)$ . We denote by  $e : B \dashrightarrow \mathcal{A}$  the zero section and by  $[n]$  the multiplication by  $n$  on  $A$ ; it defines a rational mapping  $\mathcal{A} \dashrightarrow \mathcal{A}$ .

We may assume that  $M$  is very ample, and we fix an embedding of  $B$  in a projective space such that the restriction of  $O(1)$  to  $B$  coincides with  $M$ . The restriction of the Fubini-Study form to  $B$  is a Kähler form  $v$ .

Fix a Zariski dense open subset  $B^o$  of  $B$  such that  $B^o$  is smooth and  $\pi|_{\pi^{-1}(B^o)}$  is smooth; then, set  $\mathcal{A}^o := \pi^{-1}(B^o)$ .

Let  $X$  be a geometrically irreducible subvariety of  $A$  such that  $X_\varepsilon$  is Zariski dense in  $X$  for every  $\varepsilon > 0$ . We denote by  $\mathcal{X}$  its Zariski closure in  $\mathcal{A}$ , by  $\mathcal{X}^o$  its Zariski closure in  $\mathcal{A}^o$ , and by  $\mathcal{X}^{o,reg}$  the regular locus of  $\mathcal{X}^o$ . Our goal is to show that  $X$  is special.

**1.2.2. The main ingredients.** One of the main ideas of this paper is to consider the Betti foliation (see Section 2.1). It is a smooth foliation of  $\mathcal{A}^o$  by holomorphic leaves, which is transverse to  $\pi$ . Every torsion point of  $A$  gives local sections of  $\pi|_{\pi^{-1}(B^o)}$ : these sections are local leaves of the Betti foliation, and this property characterizes it.

To prove Theorem A, the **first step** is to show that  $\mathcal{X}^o$  is invariant under the foliation when small points are dense in  $X$ . In other words, at every smooth point  $x \in \mathcal{X}^o$ , the tangent space to the Betti foliation is contained in  $T_x \mathcal{X}^o$ . For this, we introduce a semi-positive closed  $(1,1)$ -form  $\omega$  on  $\mathcal{A}^o$  which is canonically associated to  $L$  and vanishes along the foliation. An inequality of Gubler implies that the canonical height  $\hat{h}(X)$  of  $X$  is 0 when small points are dense in  $X$ ; Theorem B asserts that the condition  $\hat{h}(X) = 0$  translates into

$$\int_{\mathcal{X}^o} \omega^{\dim X + 1} \wedge (\pi^* \nu)^{m-1} = 0 \quad (1.3)$$

where  $\nu$  is any Kähler form on the base  $B^o$ . From the construction of  $\omega$ , we deduce that  $X$  is invariant under the Betti foliation.

The first step implies that the fibers of  $\pi|_{\mathcal{X}^o}$  are invariant under the action of the holonomy of the Betti foliation; the **second step** shows that a subvariety of a fiber  $\mathcal{A}_b$  which is invariant under the holonomy is the sum of a torsion coset and a subset of  $A^{\bar{K}/k}$ . The conclusion easily follows from these two main steps. The second step already appeared in [11] and [6], but here, we make use of a more efficient dynamical argument which may be derived from a result of Muchnik and is independent of the Pila-Zannier's counting strategy.

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## 2. THE BETTI FORM

In this section, we define a foliation, and a closed  $(1,1)$ -form on  $\mathcal{A}^o$  which is naturally associated to the line bundle  $L$ .

**2.1. The local Betti maps.** Let  $b$  be a point of  $B^o$ , and  $U \subseteq B^o(\mathbf{C})$  be a connected and simply connected open neighbourhood of  $b$  in the euclidean topology. Fix a basis of  $H_1(\mathcal{A}_b; \mathbf{Z})$  and extend it by continuity to all fibers above  $U$ .

There is a natural real analytic diffeomorphism  $\phi_U : \pi^{-1}(U) \rightarrow U \times \mathbf{R}^{2g}/\mathbf{Z}^{2g}$  such that

- (1)  $\pi_1 \circ \phi_U = \pi$  where  $\pi_1 : U \times \mathbf{R}^{2g}/\mathbf{Z}^{2g} \rightarrow U$  is the projection to the first factor;
- (2) for every  $b \in U$ , the map  $\phi_U|_{\mathcal{A}_b} : \mathcal{A}_b \rightarrow \pi_1^{-1}(b)$  is an isomorphism of real Lie groups that maps the basis of  $H_1(\mathcal{A}_b; \mathbf{Z})$  onto the canonical basis of  $\mathbf{Z}^{2g}$ .

For  $b$  in  $U$ , denote by  $i_b : \mathbf{R}^{2g}/\mathbf{Z}^{2g} \rightarrow U \times \mathbf{R}^{2g}/\mathbf{Z}^{2g}$  the inclusion  $y \mapsto (b, y)$ . The **Betti map** is the  $C^\infty$ -projection  $\beta_U^b : \pi^{-1}(U) \rightarrow \mathcal{A}_b$  defined by

$$\beta_U^b := (\phi_U|_{\mathcal{A}_b})^{-1} \circ i_b \circ \pi_2 \circ \phi_U \quad (2.1)$$

where  $\pi_2 : U \times \mathbf{R}^{2g}/\mathbf{Z}^{2g} \rightarrow \mathbf{R}^{2g}/\mathbf{Z}^{2g}$  is the projection to the second factor. Changing the basis of  $H_1(\mathcal{A}_b; \mathbf{Z})$ , we obtain another trivialization  $\phi'_U$  that is given by post-composing  $\phi_U$  with a constant linear transformation

$$(b, z) \in U \times \mathbf{R}^{2g}/\mathbf{Z}^{2g} \mapsto (b, h(z)) \quad (2.2)$$

for some element  $h$  of the group  $\mathrm{GL}_{2g}(\mathbf{Z})$ ; thus,  $\beta_U^b$  does not depend on  $\phi_U$ .

Note that  $\beta_U^b$  is the identity on  $\mathcal{A}_b$ . In general,  $\beta_U^b$  is not holomorphic. However, for every  $p \in \mathcal{A}_b$ ,  $(\beta_U^b)^{-1}(p)$  is a complex submanifold of  $\mathcal{A}^o$ . (For instance, every section of  $\pi|_{\pi^{-1}U}$  which is given by a torsion point provides a fiber of  $\beta_U^b$ , and continuous limits of holomorphic sections are holomorphic.)

**2.2. The Betti foliation.** The local Betti maps determine a natural foliation  $\mathcal{F}$  on  $\mathcal{A}^o$ : for every point  $p$ , the local leaf  $\mathcal{F}_{U,p}$  through  $p$  is the fiber  $(\beta_U^{\pi(p)})^{-1}(p)$ . We call  $\mathcal{F}$  the **Betti foliation**. The leaves of  $\mathcal{F}$  are holomorphic, in the following sense: for every  $p \in \mathcal{A}^o$ , the local leaf  $\mathcal{F}_{U,p}$  is a complex submanifold of  $\pi^{-1}(U) \subset \mathcal{A}^o$ . But a global leaf  $\mathcal{F}_p$  can be dense in  $\mathcal{A}^o$  for the euclidean topology. Moreover,  $\mathcal{F}$  is everywhere transverse to the fibers of  $\pi$ , and  $\pi|_{\mathcal{F}_p} : \mathcal{F}_p \rightarrow B^o$  is a regular holomorphic covering for every point  $p$  (it may have finite or infinite degree, and this may depend on  $p$ ).

**Remark 2.1.** The foliation  $\mathcal{F}$  is characterized as follows. Let  $q$  be a torsion point of  $\mathcal{A}_b$ ; it determines a multisection of the fibration  $\pi$ , obtained by analytic continuation of  $q$  as a torsion point in nearby fibers of  $\pi$ . This multisection coincides with the leaf  $\mathcal{F}_q$ . There is a unique foliation of  $\mathcal{A}^o$  which is everywhere transverse to  $\pi$  and whose set of leaves contains all those multisections.

**Remark 2.2.** One can also think about  $\mathcal{F}$  dynamically. The endomorphism  $[n]$  determines a rational transformation of the model  $\mathcal{A}$  and induces a regular transformation of  $\mathcal{A}^o$ . It preserves  $\mathcal{F}$ , mapping leaves to leaves. Preperiodic leaves correspond to preperiodic points of  $[n]$  in the fiber  $\mathcal{A}_b$ ; they are exactly the leaves given by the torsion points of  $A$ .

**Remark 2.3.** Assume that the family  $\pi : \mathcal{A}^o \rightarrow B^o$  is trivial, i.e.  $\mathcal{A}^o = B^o \times A_{\mathbf{C}}$  where  $A_{\mathbf{C}}$  is an abelian variety over  $\mathbf{C}$  and  $\pi$  is the first projection. Then, the leaves of  $\mathcal{F}$  are exactly the fibers of the second projection.

**2.3. The Betti form.** The Betti form is introduced by Mok in [13, pp. 374] to study the Mordell-Weil group over function fields. We hereby sketch the construction of this  $(1, 1)$ -form. For  $b \in B^o$ , there exists a unique smooth  $(1, 1)$ -form  $\omega_b \in c_1(\mathcal{L}|_{\mathcal{A}_b})$  on  $\mathcal{A}_b$  which is invariant under translations. If we write  $\mathcal{A}_b = \mathbf{C}^g/\Lambda$  and denote by  $z_1, \dots, z_g$  the standard coordinates of  $\mathbf{C}^g$ , then

$$\omega_b = \sum_{1 \leq i, j \leq g} a_{i,j} dz_i \wedge d\bar{z}_j \quad (2.3)$$

for some complex numbers  $a_{i,j}$ . This form  $\omega_b$  is positive, because  $\mathcal{L}|_{\mathcal{A}_b}$  is ample.

Now, we define a smooth 2-form  $\omega$  on  $\mathcal{A}^o$ . Let  $p$  be a point of  $\mathcal{A}^o$ . First, define  $P_p : T_p \mathcal{A}^o \rightarrow T_p \mathcal{A}_{\pi(p)}$  to be the projection onto the first factor in

$$T_p \mathcal{A}^o = T_p \mathcal{A}_{\pi(p)} \oplus T_p \mathcal{F}. \quad (2.4)$$

Since the tangent spaces  $T_p \mathcal{F}$  and  $T_p \mathcal{A}_{\pi(p)}$  are complex subspaces of  $T_p \mathcal{A}^o$ , the map  $P_p$  is a complex linear map. Then, for  $v_1$  and  $v_2 \in T_p \mathcal{A}^o$  we set

$$\omega(v_1, v_2) := \omega_{\pi(p)}(P_p(v_1), P_p(v_2)). \quad (2.5)$$

We call  $\omega$  the **Betti form**. By construction,  $\omega|_{\mathcal{A}_b} = \omega_b$  for every  $b$ . Since  $\omega_b$  is of type  $(1, 1)$  and  $P_p$  is  $\mathbf{C}$ -linear,  $\omega$  is an antisymmetric form of type  $(1, 1)$ . Since  $\omega_b$  is positive,  $\omega$  is semi-positive.

Let  $U$  and  $\phi_U$  be as in Section 2.1. Let  $y_i, i = 1, \dots, 2g$ , denote the standard coordinates of  $\mathbf{R}^{2g}$ . Then there are real numbers  $b_{i,j}$  such that

$$(\phi_U^{-1})^* \omega = \sum_{1 \leq i < j \leq 2g} b_{i,j} dy_i \wedge dy_j. \quad (2.6)$$

It follows that  $d((\phi_U^{-1})^* \omega) = 0$  and that  $\omega$  is closed. Moreover,  $[n]^* \omega = n^2 \omega$ . Thus, we get the following lemma.

**Lemma 2.4.** *The Betti form  $\omega$  is a real analytic, closed, semi-positive  $(1, 1)$ -form on  $\mathcal{A}^o$  such that  $\omega|_{\mathcal{A}_b} = \omega_b$  for every point  $b \in B^o$ . In particular, the cohomology class of  $\omega|_{\mathcal{A}_b}$  coincides with  $c_1(\mathcal{L}|_{\mathcal{A}_b})$  for every  $b \in B^o$ .*

Since the monodromy of the foliation preserves the polarization  $\mathcal{L}_{\mathcal{A}_b}$ , it preserves  $\omega_b$  and is contained in a symplectic group.

### 3. THE CANONICAL HEIGHT AND THE BETTI FORM

**3.1. The canonical height.** Recall that  $K = \mathbf{C}(B)$ . Let  $X$  be any subvariety of  $A_{\bar{K}}$ . There exists a finite field extension  $K'$  over  $K$  such that  $X$  is defined over

$K'$ ; in other words, there exists a subvariety  $X'$  of  $A_{K'}$  such that  $X = X' \otimes_{K'} \bar{K}$ . Let  $\rho' : B' \rightarrow B$  be the normalization of  $B$  in  $K'$ . Set  $\mathcal{A}' := \mathcal{A} \times_B B'$  and denote by  $\rho : \mathcal{A}' \rightarrow \mathcal{A}$  the projection to the first factor; then, denote by  $X'$  the Zariski closure of  $X$  in  $\mathcal{A}'$ . The **naive height** of  $X$  associated to the model  $\pi : \mathcal{A} \rightarrow B$  and the line bundles  $\mathcal{L}$  and  $M$  is defined by the intersection number

$$h(X) = \frac{1}{[K' : K]} \left( X' \cdot c_1(\rho^* \mathcal{L})^{d_X+1} \cdot \rho^* \pi^*(c_1(M))^{d_B-1} \right) \quad (3.1)$$

where  $d_X = \dim X$  and  $d_B = \dim B$ . It depends on the model  $\mathcal{A}$  and the extension  $\mathcal{L}$  of  $L$  to  $\mathcal{A}$  but it does not depend on the choice of  $K'$ .

The **canonical height** is the limit

$$\hat{h}(X) = \lim_{n \rightarrow +\infty} \frac{h([n]_* X)}{n^{2(d_X+1)}} = \lim_{n \rightarrow +\infty} \frac{\deg([n]|_X) h([n]X)}{n^{2(d_X+1)}}. \quad (3.2)$$

It depends on  $L$  but not on the model  $(\mathcal{A}, \mathcal{L})$ ; we refer to Gubler's work [8] for more details. By [12, Theorem 5.4, Chapter 6], the condition  $\hat{h}(X) = 0$  does not depend on  $L$ . In particular, we may modify  $\mathcal{L}$  on special fibers to assume that  $\mathcal{L}$  is ample. See also [9, Section 3].

Now we reformulate the canonical height in differential geometric terms. For simplicity, assume that  $X$  is already defined over  $K$ . Set  $\mathcal{A}_1 := \mathcal{A}$ ,  $\pi_1 := \pi$  and  $\mathcal{L}_1 := \mathcal{L}$ . Pick a Kähler form  $\alpha_1$  in  $c_1(\mathcal{L})$  (such a form exists because we choose  $\mathcal{L}$  ample). For every  $n \geq 1$ , there exists an irreducible smooth projective scheme  $\pi_n : \mathcal{A}_n \rightarrow B$  over  $B$ , extending  $\pi|_{\mathcal{A}^o} : \mathcal{A}^o \rightarrow B^o$ , such that the rational map  $[n] : \mathcal{A}^o \rightarrow \mathcal{A}^o$  lifts to a morphism  $f_n : \mathcal{A}_n \rightarrow \mathcal{A}$  over  $B$ . Write  $\mathcal{L}_n := f_n^* \mathcal{L}$  and  $\alpha_n := f_n^* \alpha_1$ . Denote by  $X_n$  the Zariski closure of  $X^o$  in  $\mathcal{A}_n$ . Since the Kähler form  $\mathbf{v}$  introduced in Section 1.2.1 represents the class  $c_1(M)$ , the projection formula gives

$$\hat{h}(X) = \lim_{n \rightarrow \infty} n^{-2(d_X+1)} (X_n \cdot \mathcal{L}_n^{d_X+1} \cdot (\pi_n^* M)^{d_B-1}) \quad (3.3)$$

$$= \lim_{n \rightarrow \infty} n^{-2(d_X+1)} \int_{X_n} \alpha_n^{d_X+1} \wedge (\pi_n^* \mathbf{v})^{d_B-1} \quad (3.4)$$

$$= \lim_{n \rightarrow \infty} n^{-2(d_X+1)} \int_{X^o} ([n]^* \alpha)^{d_X+1} \wedge (\pi^* \mathbf{v})^{d_B-1} \quad (3.5)$$

because the integral on  $X_n$  is equal to the integral on the dense Zariski open subset  $X^o$  (and even on the regular locus  $X^{o,reg}$ ).

**3.2. Gubler-Zhang inequality.** By definition, the **essential height**  $\text{ess}(X)$  of a subvariety  $X \subset A$  is the real number

$$\text{ess}(X) = \sup_Y \inf_{x \in X(\bar{K}) \setminus Y} \hat{h}(x), \quad (3.6)$$

where  $Y$  runs through all proper Zariski closed subsets of  $X$ . The following inequality is due to Gubler in [9, Lemma 4.1]; it is an analogue of Zhang's inequality [24, Theorem 1.10] over number fields.

$$0 \leq \frac{\hat{h}(X)}{(d_X + 1) \deg_L(X)} \leq \text{ess}(X). \quad (3.7)$$

The converse inequality  $\text{ess}(X) \leq \hat{h}(X)/\deg_L(X)$  also holds, but we shall not use it in this article.

**Definition 3.1.** We say that  $X$  is **small**, if  $X_\varepsilon$  is Zariski dense in  $X$  for all  $\varepsilon > 0$ .

The above inequalities comparing  $\hat{h}(X)$  to  $\text{ess}(X)$  show that  $X$  is small if, and only if  $\hat{h}(X) = 0$ .

**Proposition 3.2.** *Let  $g : A \rightarrow A'$  be a morphism of abelian varieties over  $K$ , and let  $a \in A(K)$  be a torsion point. Let  $X$  be an absolutely irreducible subvariety of  $A$  over  $K$ .*

- (1) *If  $X$  is small, then  $g(X)$  is small.*
- (2) *If  $g$  is an isogeny and  $g(X)$  is small, then  $X$  is small.*
- (3)  *$X$  is small if and only if  $a + X$  is small.*

*Proof.* Assertions (1) and (2) follow from [20, Proposition 2.6.]. To prove the third one fix an integer  $n \geq 1$  such that  $na = 0$ . By assertions (1) and (2),  $a + X$  is small if and only if  $[n](a + X) = [n](X)$  is small, if and only if  $X$  is small.  $\square$

**3.3. Smallness and the Betti form.** Here is the key relationship between the density of small points and the Betti form.

**Theorem B.** *Let  $X$  be an absolutely irreducible subvariety of  $A$  over  $\mathbf{C}(B)$ . If  $X$  is small, then*

$$\int_{X^o} \omega^{d_X+1} \wedge (\pi^* \nu)^{d_B-1} = 0,$$

with  $\omega$  the Betti form associated to  $L$  and  $\nu$  the Kähler form on  $B$  representing the class  $c_1(M)$ .

*Proof.* Since  $X$  is small,  $\hat{h}(X) = 0$  and equation (3.5) shows that

$$0 = \hat{h}(X) = \lim_{n \rightarrow \infty} n^{-2(d_X+1)} \int_{X^o} ([n]^* \alpha)^{d_X+1} \wedge (\pi^* \nu)^{d_B-1}. \quad (3.8)$$

Let  $U \subset B^o$  be any relatively compact open subset of  $B^o$  in the euclidean topology. There exists a constant  $C_U > 0$  such that  $C_U \alpha - \omega$  is semi-positive on  $\pi^{-1}(U)$ . Since  $[n] : \mathcal{A}^o \rightarrow \mathcal{A}^o$  is regular, the  $(1, 1)$ -form  $n^{-2} [n]^* (C_U \alpha - \omega) = C_U n^{-2} [n]^* \alpha - \omega$  is semi-positive. Since  $\omega$  and  $\nu$  are semi-positive, we get

$$0 \leq \int_{\pi^{-1}(U) \cap X^o} \omega^{d_X+1} \wedge (\pi^* \nu)^{d_B-1} \leq \left( \frac{C_U}{n^2} \right)^{d_X+1} \int_{X^o} ([n]^* \alpha)^{d_X+1} \wedge (\pi^* \nu)^{d_B-1}$$

for all  $n \geq 1$ . Letting  $n$  go to  $+\infty$ , equation (3.8) gives

$$\int_{\pi^{-1}(U) \cap \mathcal{X}^o} \omega^{d_X+1} \wedge (\pi^* \mathbf{v})^{d_B-1} = 0. \quad (3.9)$$

Since this holds for all relatively compact subsets  $U$  of  $B^o$ , the theorem is proved.  $\square$

**Corollary 3.3.** *Assume that  $X$  is small. Let  $U$  and  $V$  be open subsets of  $B^o$  and  $\mathcal{X}^o$  with respect to the euclidean topology such that  $U$  contains the closure of  $\pi(V)$ . Let  $\mu$  be any smooth real semi-positive  $(1,1)$ -form on  $U$ . We have*

$$\int_V \omega^{d_X+1} \wedge (\pi^* \mu)^{d_B-1} = 0.$$

*Proof of the Corollary.* Since  $\omega$  and  $\mu$  are semi-positive, the integral is non-negative. Since  $\mathbf{v}$  is strictly positive on  $U$ , there is a constant  $C > 0$  such that  $C\mathbf{v} - \mu$  is semi-positive. From Theorem B we get

$$0 \leq \int_V \omega^{d_X+1} \wedge (\pi^* \mu)^{d_B-1} \leq C^{d_B-1} \int_V \omega^{d_X+1} \wedge (\pi^* \mathbf{v})^{d_B-1} = 0, \quad (3.10)$$

and the conclusion follows.  $\square$

**Theorem B'.** *Assume that  $X$  is small. Then at every point  $p \in \mathcal{X}^o$ , we have  $T_p \mathcal{F} \subseteq T_p \mathcal{X}^o$ . In other words,  $\mathcal{X}^o$  is invariant under the Betti foliation: for every  $p \in \mathcal{X}^o$ , the leaf  $\mathcal{F}_p$  is contained in  $\mathcal{X}^o$ .*

*Proof.* We start with a simple remark. Let  $P: \mathbf{C}^{N+1} \rightarrow \mathbf{C}^N$  be a complex linear map of rank  $N$ . Let  $\omega_0$  be a positive  $(1,1)$ -form on  $\mathbf{C}^N$ . If  $V$  is a complex linear subspace of  $\mathbf{C}^{N+1}$  of dimension  $N$ , then  $\ker(P) \subset V$  if and only if  $P|_V$  is not onto, if and only if  $(P^* \omega_0^N)|_V = 0$ . Now, assume that  $B$  has dimension 1. Then, the integral of  $\omega^{d_X+1}$  on  $\mathcal{X}^o$  vanishes; since the form  $\omega$  is non-negative, the remark implies that the kernel of  $P_p$  from Section 2.3 is contained in  $T_p \mathcal{X}^o$  at every smooth point  $p$  of  $\mathcal{X}^o$ . This proves the proposition when  $d_B = 1$ .

The general case reduces to  $d_B = 1$  as follows. Let  $U$  and  $U'$  be open subsets of  $B^o(\mathbf{C})$  such that: (i)  $\bar{U} \subset U'$  in the euclidean topology and (ii) there are complex coordinates  $(z_j)$  on  $U'$  such that  $U = \{|z_j| < 1, j = 1, \dots, d_B\}$ . Set

$$\mu := i(dz_2 \wedge d\bar{z}_2 + \dots + dz_{d_B} \wedge d\bar{z}_{d_B}). \quad (3.11)$$

It is a smooth real non-negative  $(1,1)$ -form on  $U'$ . By Corollary 3.3, we have

$$\int_{\pi^{-1}(U) \cap \mathcal{X}} \omega^{d_X+1} \wedge (\pi^* \mu)^{d_B-1} = 0. \quad (3.12)$$

For  $(w_2, \dots, w_{d_B})$  in  $\mathbf{C}^{d_B-1}$  with norm  $|w_i| < 1$  for all  $i$ , consider the slice

$$\mathcal{X}(w_2, \dots, w_{d_B}) = \mathcal{X} \cap \pi^{-1}(U \cap \{z_2 = w_2, \dots, z_{d_B} = w_{d_B}\}); \quad (3.13)$$



this slice provides a family of subsets of  $\mathcal{A}$  over the one-dimensional disk  $\{(z_1, w_2, \dots, w_{d_B}) ; |z_1| < 1\}$ . Then, the integral of  $\omega^{d_X+1}$  over  $X(w_2, \dots, w_{d_B})$  vanishes for almost every point  $(w_2, \dots, w_{d_B})$ ; from the case  $d_B = 1$ , we deduce that, at every point  $p$  of  $X^o \cap \pi^{-1}U$ , the tangent  $T_p X^o$  intersects  $T_p \mathcal{F}$  on a line whose projection in  $T_{\pi(p)} B$  is the line  $\{z_2 = \dots = z_{d_B} = 0\}$ . Doing the same for all coordinates  $z_i$ , we see that  $T_p \mathcal{F}$  is contained in  $T_p X^o$ .  $\square$

As a direct application of Theorem B' and Remark 2.3, we prove Theorem A in the isotrivial case.

**Corollary 3.4.** *If  $A_{\bar{K}} = A^{\bar{K}/\mathbf{C}} \otimes_{\mathbf{C}} \bar{K}$  and  $X$  is small, then there exists a subvariety  $Y \subseteq A^{\bar{K}/\mathbf{C}}$  such that  $X \otimes_K \bar{K} = Y \otimes_{\mathbf{C}} \bar{K}$ .*

*Proof.* Replacing  $K$  by a suitable finite extension  $K'$  and then  $B$  by its normalization in  $K'$ , we may assume that  $\mathcal{A}^o = B^o \times A^{\bar{K}/\mathbf{C}}$  and that  $\pi: \mathcal{A}^o \rightarrow B$  is the projection to the first factor. By Remark 2.3, the leaves of the Betti foliation are exactly the fibers of the projection  $\pi_2$  onto the second factor. Since  $X$  is small, Theorem B' shows that  $X = \pi_2^{-1}(Y)$ , with  $Y := \pi_2(X)$ .  $\square$

#### 4. INVARIANT ANALYTIC SUBSETS OF REAL AND COMPLEX TORI

Let  $m$  be a positive integer. Let  $M = \mathbf{R}^m / \mathbf{Z}^m$  be the torus of dimension  $m$  and  $\pi: \mathbf{R}^m \rightarrow M$  be the natural projection. The group  $\mathrm{GL}_m(\mathbf{Z})$  acts by real analytic homomorphisms on  $M$ . In this section, we study analytic subsets of  $M$  which are invariant under the action of a subgroup  $\Gamma \subset \mathrm{SL}_m(\mathbf{Z})$ . The main ingredient is a result of Muchnik and of Guivarc'h and Starkov.

4.1. **Zariski closure of  $\Gamma$ .** We denote by

$$G = \mathrm{Zar}(\Gamma)^{irr} \tag{4.1}$$

the neutral component, for the Zariski topology, of the Zariski closure of  $\Gamma$  in  $\mathrm{GL}_m(\mathbf{R})$ . We shall assume that  $G$  is semi-simple. The real points  $G(\mathbf{R})$  form a real Lie group, and the neutral component in the euclidean topology is denoted  $G(\mathbf{R})^+$ . Let  $\Gamma_0$  be the intersection of  $\Gamma$  with  $G(\mathbf{R})^+$ ; then  $\Gamma_0$  is both contained in  $\mathrm{GL}_m(\mathbf{Z})$  and Zariski dense in  $G$ : every polynomial equation that vanishes identically on  $\Gamma_0$  vanishes also on  $G$ . But the Zariski closure of  $\Gamma_0$  in  $\mathrm{GL}_m(\mathbf{R})$  may be larger than  $G(\mathbf{R})^+$  (it may include other connected components).

We shall denote by  $V$  the vector space  $\mathbf{R}^m$ ; the lattice  $\mathbf{Z}^m$  determines an integral, hence a rational structure on  $V$ . The Zariski closures  $\mathrm{Zar}(\Gamma)$  and  $\mathrm{Zar}(\Gamma_0)$  are  $\mathbf{Q}$ -algebraic subgroups of  $\mathrm{SL}_m$  for this rational structure.

We shall say that  $\Gamma$  (or  $G$ ) has **no trivial factor** if every  $G$ -invariant vector  $u \in V$  is equal to 0. Note that this notion depends only on  $G$ , not on  $\Gamma$ .

**4.2. Results of Muchnik and Guivarc'h and Starkov.** Assume that  $V$  is an irreducible representation of  $G$  over  $\mathbf{Q}$ ; this means that every proper  $\mathbf{Q}$ -subspace of  $V$  which is  $G$ -invariant is the trivial subspace  $\{0\}$ . We decompose  $V$  into irreducible subrepresentations of  $G$  over  $\mathbf{R}$ ,

$$V = W_1 \oplus W_2 \oplus \cdots \oplus W_s. \quad (4.2)$$

To each  $W_i$  corresponds a subgroup  $G_i$  of  $\mathrm{GL}(W_i)$  given by the restriction of the action of  $G$  to  $W_i$ . Some of the groups  $G_i(\mathbf{R})$  may be compact, and we denote by  $V_c$  the sum of the corresponding subspaces:  $V_c$  is the maximal  $G$ -invariant subspace of  $V$  on which  $G(\mathbf{R})$  acts by a compact factor. It is a proper subspace of  $V$ ; indeed, if  $V_c$  were equal to  $V$  then  $G(\mathbf{R})$  would be compact,  $\Gamma$  would be finite, and  $G$  would be trivial (contradicting the non-existence of trivial factor).

**Theorem 4.1** (Muchnik [14]; Guivarc'h and Starkov [10]). *Assume that  $G$  is semi-simple, and its representation on  $\mathbf{Q}^m$  is irreducible. Let  $x$  be an element of  $M$ . Then, one of the following two exclusive properties occur*

- (1) *the  $\Gamma$ -orbit of  $x$  is dense in  $M$ ;*
- (2) *there exists a torsion point  $a \in M$  such that  $x \in a + \pi(V_c)$ .*

In the second assertion, the torsion point  $a$  is uniquely determined by  $x$ , because otherwise  $V_c$  would contain a non-zero rational vector and the representation  $V$  would not be irreducible over  $\mathbf{Q}$ . As a corollary, if  $F \subset M$  is a closed, proper, connected and  $\Gamma$ -invariant subset, then  $F$  is contained in a translate of  $\pi(V_c)$  by a (unique) torsion point. Also, if  $x$  is a point of  $M$  with a finite orbit under the action of  $\Gamma$ , then  $x$  is a torsion point.

**Remark 4.2.** Theorem 4.1 will be used to describe  $\Gamma$ -invariant real analytic subsets  $Z \subset M$ . If it is infinite, such a set contains the image of a non-constant real analytic curve. The existence of such a curve in  $Z$  is the main difficulty in Muchnik's argument, but in our situation it is given for free.

**Remark 4.3.** Assume that  $m = 2g$  for some  $g \geq 1$  and  $M$  is in fact a complex torus  $\mathbf{C}^g/\Lambda$ , with  $\Lambda \simeq \mathbf{Z}^{2g}$ . Suppose that  $F$  is a complex analytic subset of  $M$ . The inclusion  $F \rightarrow M$  factors through the Albanese torus  $F \rightarrow A_F$  of  $F$ , via a morphism  $A_F \rightarrow M$ , and the image of  $A_F$  is the quotient of a subspace  $W$  in  $\mathbf{C}^g$  by a lattice  $W \cap \Lambda$ . So, if  $F \subset a + \pi(V_c)$ , the subspace  $V_c$  contains a subspace  $W \subset \mathbf{R}^m$  which is defined over  $\mathbf{Q}$ , contradicting the irreducibility assumption. To separate clearly the arguments of complex geometry from the arguments of dynamical systems, we shall not use this type of idea before Section 4.4.

**Remark 4.4.** Theorem 2 of [10] should assume that the group  $G$  has no compact factor (this is implicitly assumed in [10, Proposition 1.3]).

**4.3. Invariant real analytic subsets.** Let  $F$  be an analytic subset of  $M$ . We say that  $F$  does not **fully generate**  $M$  if there is a proper subspace  $W$  of  $V$  and a non-empty open subset  $\mathcal{U}$  of  $F$  such that  $T_x F \subset W$  for every regular point  $x$  of  $F$  in  $\mathcal{U}$ . Otherwise, we say that  $F$  fully generates  $M$ .

**Proposition 4.5.** *Let  $\Gamma$  be a subgroup of  $\mathrm{GL}_m(\mathbf{Z})$ . Assume that the neutral component  $\mathrm{Zar}(\Gamma)^{\mathrm{irr}} \subset \mathrm{GL}_m(\mathbf{R})$  is semi-simple, and has no trivial factor. Let  $F$  be a real analytic and  $\Gamma$ -invariant subset of  $M$ . If  $F$  fully generates  $M$ , it is equal to  $M$ .*

To prove this result, we decompose the linear representation of  $G = \mathrm{Zar}(\Gamma)^{\mathrm{irr}}$  on  $V$  into a direct sum of irreducible representations over  $\mathbf{Q}$ :

$$V = V_1 \oplus \cdots \oplus V_s. \quad (4.3)$$

Since there is no trivial factor, non of the  $V_i$  is the trivial representation. For each index  $i$ , we denote by  $V_{i,c}$  the compact factor of  $V_i$ . The projection  $\pi$  is a diffeomorphism from  $V_{i,c}$  onto its image in  $M_i$ , because otherwise  $V_{i,c}$  would contain a non-zero vector in  $\mathbf{Z}^m$  and  $V_i$  would not be an irreducible representation over  $\mathbf{Q}$ . Set

$$M_i = V_i / (\mathbf{Z}^m \cap V_i). \quad (4.4)$$

Then, each  $M_i$  is a compact torus of dimension  $\dim(V_i)$ , and  $M$  is isogenous to the product of the  $M_i$ . We may, and we shall assume that  $M$  is in fact equal to this product:

$$M = M_1 \times \cdots \times M_s; \quad (4.5)$$

this assumption simplifies the exposition without any loss of generality, because the image and the pre-image of a real analytic set by an isogeny is analytic too. We also assume, with no loss of generality, that  $\Gamma$  is contained in  $G$ . For every index  $1 \leq i \leq s$ , we denote by  $\pi_i$  the projection on the  $i$ -th factor  $M_i$ .

**Lemma 4.6.** *If  $F$  fully generates  $M$ , the projection  $F_i := \pi_i(F)$  is equal to  $M_i$  for every  $1 \leq i \leq s$ .*

*Proof.* By construction,  $F_i$  is a closed,  $\Gamma$ -invariant subset of  $M_i$ . Fix a connected component  $F_i^0$  of  $F_i$ . If it were contained in a translate of  $\pi(V_{i,c})$ , then  $F$  would not fully generate  $M$ . Thus, Theorem 4.1 implies  $F_i^0 = M_i$ .  $\square$

We do an induction on the number  $s$  of irreducible factors. For just one factor, this is the previous lemma. Assuming that the proposition has been proven for  $s - 1$  irreducible factors, we now want to prove it for  $s$  factors. To simplify the exposition, we suppose that  $s = 2$ , which means that  $M$  is the product of just two factors  $M_1 \times M_2$ . The proof will only use that  $\pi_1(f) = M_1$  and  $F$  fully generates  $M$ ; thus, changing  $M_1$  into  $M_1 \times \cdots \times M_{s-1}$ , this proof also establishes the induction in full generality.

There is a closed subanalytic subset  $Z_1$  of  $M_1$  with empty interior such that  $\pi_1$  restricts to a locally trivial analytic fibration from  $F \setminus \pi_1^{-1}(Z_1)$  to  $M_1 \setminus Z_1$ . If  $F$  does not coincide with  $M$ , the fiber  $F_x$  is a proper, non-empty analytic subset of  $\{x\} \times M_2$  for every  $x$  in  $M_1 \setminus Z_1$ . We shall derive a contradiction from the fact that  $F$  fully generates  $M$ .

Theorem 4.1 tells us that, for every torsion point  $x$  in  $M_1 \setminus Z_1$ , there is a finite set of points  $a_j(x)$  in  $M_2$  such that

$$F_x \subset \bigcup_{j=1}^J a_j(x) + \pi(V_{2,c}); \quad (4.6)$$

the number of such points  $a_j(x)$  is bounded from above by the number of connected components of  $F_x$ . Since torsion points are dense in  $M_1$ , this property holds for every point  $x$  in  $M_1 \setminus Z_1$  (the  $a_j(x)$  are not torsion points a priori). Since there are points with a dense  $\Gamma$ -orbit in  $M_1$ , we can assume that the number  $J$  of points  $a_j(x)$  does not depend on  $x$ .

Assume temporarily that  $J = 1$ , so that  $F_x$  is contained in  $a(x) + \pi(V_{2,c})$  for some point  $a(x)$  of  $M_2$ . The point  $a(x)$  is not uniquely defined by this property (one can replace it by  $a(x) + \pi(v)$  for any  $v \in V_{2,c}$ ), but there is a way to choose  $a(x)$  canonically. First, the action of  $G(\mathbf{R})$  on  $V_{2,c}$  factors through a compact subgroup of  $\mathrm{GL}(V_{2,c})$ , so we can fix a  $G(\mathbf{R})$ -invariant euclidean metric  $\mathrm{dist}_2$  on  $V_{2,c}$ . Then, any compact subset  $K$  of  $V_{2,c}$  is contained in a unique ball of smallest radius for the metric  $\mathrm{dist}_2$ ; we denote by  $c(K)$  and  $r(K)$  the center and radius of this ball. Since the projection  $\pi$  is a diffeomorphism from  $V_{2,c}$  onto its image in  $M_2$ , the center of  $F_x$  inside the translate of  $\pi(V_{2,c})$  containing  $F_x$  is a well defined point

$$c(x) := c(F_x) \quad (4.7)$$

of  $M_2$  such that  $F_x$  is contained in  $c(x) + \pi(V_{2,c})$ . When  $J > 1$ , this procedure gives a finite set of centers  $\{c_j(x)\}_{1 \leq j \leq J}$ .

The centers  $c_j(x)$  and the radii  $r_j(x)$  are (restricted) sub-analytic functions of  $x$ . Thus, there is a proper, closed analytic subset  $D_1$  of  $M_1$ , containing  $Z_1$ , such that all  $r_j(x)$  and  $c_j(x)$  are smooth and analytic on its complement (see [1, 3, 16]). Let  $\mathcal{G}$  be the subset of  $\pi_1^{-1}(M_1 \setminus D_1)$  given by the union of the graphs of the centers:  $\mathcal{G} = \{(x, y) \in M_1 \times M_2; x \in M_1 \setminus D_1, y = c_j(x) \text{ for some } j\}$ .

**Lemma 4.7.** *The set  $\mathcal{G}$  is contained in finitely many translates of subtori of  $M_1 \times M_2$ , each of dimension  $\dim M_1$ .*

This lemma concludes the proof of Proposition 4.5, because if  $\mathcal{G}$  is locally contained in  $a + \pi(W)$  for some proper subset  $W$  of  $V$  of dimension  $\dim M_1$ , then  $F$  is locally contained in  $a + \pi(W + V_{2,c})$ , and  $F$  does not fully generate  $M$  because  $\dim(W + V_{2,c}) < \dim V$ .

*Proof.* By construction,  $\mathcal{G}$  is a smooth analytic subset of  $\pi_1^{-1}(M_1 \setminus D_1)$  and it is invariant by  $\Gamma$ . For  $x$  in  $M_1 \setminus D_1$ , we denote by  $\mathcal{G}_x$  the finite fiber  $\pi_1^{-1}(x) \cap \mathcal{G}$ .

For every torsion point  $x \in M_1 \setminus D_1$ , the stabilizer  $\Gamma_x$  of  $x$  is a finite index subgroup of  $\Gamma$  that preserves the finite set  $\mathcal{G}_x$ . Hence,  $\mathcal{G}_x$  is a finite set of torsion points of  $M$ , and a finite index subgroup  $\Gamma'_x$  of  $\Gamma_x$  fixes individually each of the points  $z \in \mathcal{G}_x$ . In particular, torsion points are dense in  $\mathcal{G}$ . Fix one of these torsion points  $z = (x, y)$  with  $x$  in  $M_1 \setminus D_1$ , and consider the tangent subspace  $T_z \mathcal{G}$ . It is the graph of a linear morphism  $\varphi_z: T_x M_1 \rightarrow T_y M_2$ . Identifying the tangent spaces  $T_x M_1$  and  $T_y M_2$  with  $V_1$  and  $V_2$  respectively,  $\varphi_z$  becomes a morphism that interlaces the representations  $\rho_1$  and  $\rho_2$  of  $\Gamma'_x$  on  $V_1$  and  $V_2$ ; since  $\Gamma'_x$  is Zariski dense in  $G$ , we get

$$\rho_2(g) \circ \varphi_z = \varphi_z \circ \rho_1(g) \quad (4.8)$$

for every  $g$  in  $G$ . In other words,  $\varphi_z \in \text{End}(V_1; V_2)$  is a morphism of  $G$ -spaces. This holds for every torsion point  $z$  of  $\mathcal{G}$ ; by continuity of tangent spaces and density of torsion points, this holds everywhere on  $\mathcal{G}$ .

Since  $\mathcal{G}$  is  $\Gamma$ -invariant, we also have

$$\varphi_{g(z)} \circ \rho_1(g) = \rho_2(g) \circ \varphi_z \quad (4.9)$$

for all  $g \in \Gamma$  and  $z \in \mathcal{G}$ . Then equation (4.8) shows that  $\varphi_{g(z)} = \varphi_z$ , which means that the tangent space  $T_z \mathcal{G}$  is constant along the orbits of  $\Gamma$ . Taking a point  $z$  in  $\mathcal{G}$  whose first projection has a dense  $\Gamma$ -orbit in  $M_1$ , we see that the tangent space  $w \in \mathcal{G} \mapsto T_w \mathcal{G}$  takes only finitely many values, at most  $|\mathcal{G}_{\pi_1(z)}|$ .

Let  $(W_j)_{1 \leq j \leq k}$  be the list of possible tangent spaces  $T_z \mathcal{G}$ . Locally, near any point  $z \in \mathcal{G}$ ,  $\mathcal{G}$  coincides with  $z + \pi(W_j)$  for some  $j$ . By analytic continuation  $\mathcal{G}$  contains the intersection of  $z + \pi(W_j)$  with  $\pi_1^{-1}(M_1 \setminus D_1)$ ; thus,  $W_j$  is a rational subspace of  $V$  and  $\pi(W_j)$  is a subtorus of  $M$ . Then  $\mathcal{G}$  is contained in a finite union of translates of the tori  $\pi(W_j)$ .  $\square$

**4.4. Complex analytic invariant subsets.** Let  $J$  be a complex structure on  $V = \mathbf{R}^m$ , so that  $M$  is now endowed with a structure of complex torus. Then,  $m = 2g$  for some integer  $g$ ,  $\mathbf{R}^m$  can be identified to  $\mathbf{C}^g$ , and  $M = \mathbf{C}^g / \Lambda$  where  $\Lambda$  is the lattice  $\mathbf{Z}^m$ ; to simplify the exposition, we denote by  $A$  the complex torus  $\mathbf{C}^g / \Lambda$  and by  $M$  the real torus  $\mathbf{R}^m / \mathbf{Z}^m$ . Thus,  $A$  is just  $M$ , together with the complex structure  $J$ . Let  $X$  be an irreducible complex analytic subset of  $A$ , and let  $X^{reg}$  be its smooth locus.

**Lemma 4.8.** *Let  $W$  be the real subspace of  $V$  generated by the tangent spaces  $T_x X$ , for  $x \in X^{reg}$ . Then  $W$  is both complex and rational, and  $X$  is contained in a translate of the complex torus  $\pi(W)$ .*

*Proof.* Since  $X$  is complex, its tangent space is invariant under the complex structure:  $J T_x X = T_x X$  for all  $x \in X^{reg}$ . So, the sum  $W := \sum_x T_x X$  of the  $T_x X$  over all points  $x \in X^{reg}$  is invariant by  $J$  and  $W$  is a complex subspace of  $V \simeq \mathbf{C}^g$ . Observe that if  $V'$  is any real subspace of  $V$  such that  $\pi(V')$  contains some translate of  $X^{reg}$ , then  $W \subseteq V'$ .

Let  $a$  be a point of  $X^{reg}$ , and  $Y$  be the translate  $X - a$  of  $X$ . It is an irreducible complex analytic subset of  $A$  that contains the origin  $0$  of  $A$  and satisfies  $T_y Y \subset W$  for every  $y \in Y^{reg}$ . Thus,  $Y^{reg}$  is contained in the projection  $\pi(W) \subset A$ . Set  $Y^{(1)} = Y$ ,  $Y_o^{(1)} = Y^{reg}$  and then

$$Y^{(\ell+1)} = Y^{(\ell)} - Y^{(\ell)}, \quad Y_o^{(\ell+1)} = Y_o^{(\ell)} - Y_o^{(\ell)} \quad (4.10)$$

for every integer  $\ell \geq 1$ . Since  $Y^{(1)}$  is irreducible, and  $Y^{(2)}$  is the image of  $Y^{(1)} \times Y^{(1)}$  by the complex analytic map  $(y_1, y_2) \mapsto y_1 - y_2$ , we see that  $Y^{(2)}$  is an irreducible complex analytic subset of  $A$ . Moreover  $Y_o^{(2)}$  is a connected, dense, and open subset of  $Y^{(2),reg}$ . Observe that  $Y_o^{(2)}$  is contained in  $\pi(W)$  and contains  $Y_o^{(1)}$  because  $0 \in Y_o^{(1)}$ . By a simple induction, the sets  $Y^{(\ell)}$  form an increasing sequence of irreducible complex analytic subsets of  $A$ , and  $Y_o^{(\ell)}$  is a connected, dense and open subset of  $Y^{(\ell),reg}$  that is contained in  $\pi(W)$ . By the Noether property, there is an index  $\ell_0 \geq 1$  such that  $Y^{(\ell)} = Y^{(\ell_0)}$  for every  $\ell \geq \ell_0$ . This complex analytic set is a subgroup of  $A$ , hence it is a complex subtorus. Write  $Y^{(\ell_0)} = \pi(V')$  for some rational subspace  $V'$  of  $V$ . Since  $Y \subset \pi(V')$ , we get  $W \subseteq V'$ . Since  $Y_o^{(\ell_0)} \subseteq \pi(W)$ , we derive  $V' = T_x Y_o^{(\ell_0)} \subseteq W$  for every  $x \in Y_o^{(\ell_0)}$ . This implies  $W = V'$ , and shows that  $W$  is rational.

Thus,  $\pi(W)$  is a complex subtorus of  $A$ . Since  $T_x X$  is contained in  $W$  for every regular point,  $X$  is locally contained in a translate of  $\pi(W)$ . Being irreducible,  $X$  is connected, and it is contained in a unique translate  $a + \pi(W)$ .  $\square$

**Lemma 4.9.** *Let  $X$  be an irreducible complex analytic subset of  $A$ . The following properties are equivalent:*

- (i)  $X$  is contained in a translate of a proper complex subtorus  $B \subset A$ ;
- (ii)  $X$  does not fully generate  $M$ ;
- (iii) there is a proper real subspace  $V'$  of  $V$  that contains  $T_x X$  for every  $x \in X^{reg}$ .

*Proof.* Obviously (i)  $\Rightarrow$  (iii)  $\Rightarrow$  (ii). We now prove that (ii) implies (i). If  $X$  does not fully generate  $M$ , then (iii) is satisfied on some non-empty open subset  $\mathcal{U}$  of  $X^{reg}$ . Since  $X^{reg}$  is connected and locally analytic, we deduce from analytic continuation that  $T_x X \subset V'$  for every regular point of  $X$ . From Lemma 4.8,  $X$  is contained in a complex subtorus  $B = \pi(W) \subset A$  for some complex subspace  $W$  of  $V'$ .  $\square$

**Theorem 4.10.** *Let  $\Gamma$  be a subgroup of  $\mathrm{SL}_m(\mathbf{Z})$ . Assume that the neutral component for the Zariski topology of the Zariski closure of  $\Gamma$  in  $\mathrm{SL}_m(\mathbf{R})$  is semi-simple and has no trivial factor. Let  $\mathfrak{J}$  be a complex structure on  $M = \mathbf{R}^m/\mathbf{Z}^m$  and let  $X$  be an irreducible complex analytic subset of the complex torus  $A = (M, \mathfrak{J})$ . If  $X$  is  $\Gamma$ -invariant, it is equal to a translate of a complex subtorus  $B \subset A$  by a torsion point.*

*Proof.* Set  $W := \sum_{x \in X^{reg}} T_x X$ . Lemma 4.8 shows that  $W$  is complex and rational. Since  $X$  is  $\Gamma$ -invariant, so is  $W$ . Its projection  $B = \pi(W)$  is a complex subtorus of  $A$  such that

- (1)  $B$  is  $\Gamma$ -invariant;
- (2)  $B$  contains a translate  $Y = X - a$  of  $X$ ;
- (3)  $Y$  fully generates  $B$ .

The group  $\Gamma$  acts on the quotient torus  $A/B$  and preserves the image of  $X$ , i.e. the image  $\bar{a}$  of  $a$ . Since  $V$  has no trivial factor,  $\bar{a}$  is a torsion point of  $A/B$ . Then there exists a torsion point  $a'$  in  $A$  such that  $X \subseteq a' + B$ . Replacing  $a$  by  $a'$  and  $\Gamma$  by a finite index subgroup  $\Gamma'$  which fixes  $a'$ , we may assume that  $a$  is torsion and  $Y = X - a$  is invariant by  $\Gamma$ . We apply Proposition 4.5 to  $B$ , the restriction  $\Gamma_B$  of  $\Gamma$  to  $B$ , and the complex analytic subset  $Y$ : we conclude via Lemma 4.9 that  $Y$  coincides with  $B$ . Thus,  $X = a + B$ .  $\square$

## 5. PROOF OF THEOREM A

By base change, we may suppose that  $X$  is an absolutely irreducible subvariety of  $A$ . We assume that  $X$  is small ( $X_\varepsilon$  is dense in  $X$  for all  $\varepsilon > 0$ ), and prove that  $X$  is a torsion coset of  $A$ .

**5.1. Monodromy and invariance.** Let  $b \in B^o$  be any point. The monodromy  $\rho : \pi_1(B^o) \rightarrow \mathrm{GL}_{2g}(\mathbf{Z})$  of the Betti foliation maps the fundamental group of  $\pi_1(B^o)$  onto a subgroup  $\Gamma := \mathrm{Im}(\rho)$  of  $\mathrm{GL}_{2g}(\mathbf{Z})$  that acts by linear diffeomorphisms on the torus  $\mathcal{A}_b \simeq \mathbf{R}^{2g}/\mathbf{Z}^{2g}$ . As in Section 4.1, we denote by  $G$  the neutral component  $\mathrm{Zar}(\Gamma)^{irr}$ . We let  $V^G$  denote the subspace of elements  $v \in \mathbf{R}^{2g}$  which are fixed by  $G$ . By Deligne's semi-simplicity theorem, the group  $G$  is semi-simple (see [4, Corollary 4.2.9]). Theorem B' implies that  $X$  is invariant under the Betti foliation, so that  $X_b$  is invariant under the action of  $\Gamma$ .

**5.2. Trivial trace.** We first treat the case when  $A^{\bar{K}/\mathbf{C}}$  is trivial. According to [21, Theorem 1.5], this is the only case we need to treat. However we shall also treat the case of a non-trivial trace below for completeness.

By [4, Corollary 4.1.2] and [7] (see also [4, 4.1.3.2]), we have  $V^G = \{0\}$  and Theorem 4.10 implies that  $X_b$  is a translation of an abelian subvariety of  $\mathcal{A}_b$  by some torsion point  $y_b \in \mathcal{A}_b$ . Observe that the leaf  $\mathcal{F}_{y_b}$  is an algebraic

muti-section of  $\mathcal{A}^o$  (see Remark 2.1). By base change, we may assume that  $\mathcal{F}_{y_b}$  is a section and is the Zariski closure of a torsion point  $y \in A(K)$  in  $\mathcal{A}^o$ . Theorem B' shows that  $y \in X$ , and replacing  $X$  by  $X - y$  we may suppose that  $0 \in X$ ; then  $X_b$  is an abelian subvariety of  $\mathcal{A}_b$  for all  $b \in B^o$ . It follows that  $X^o$  is a subscheme of the abelian scheme  $\mathcal{A}^o$  over  $B^o$  which is stable under the group laws. So  $X$  is an abelian subvariety of  $A$ .

**5.3. The general case.** We do not assume anymore that  $A^{\bar{K}/\mathbb{C}}$  is trivial. Set  $A^t = A^{\bar{K}/\mathbb{C}} \otimes_{\mathbb{C}} K$ . Replacing  $K$  by a finite extension and  $A$  by a finite cover, we assume that  $A = A^t \times A^{nt}$  where  $A^{nt}$  is an abelian variety over  $K$  with trivial trace. We also choose the model  $\mathcal{A}$  so that  $\mathcal{A}^o = (\mathcal{A}^t)^o \times_{B^o} (\mathcal{A}^{nt})^o$  where  $(\mathcal{A}^t)^o$  and  $(\mathcal{A}^{nt})^o$  are the Zariski closures of  $A^t$  and  $A^{nt}$  in  $\mathcal{A}^o$  respectively. Denote by  $\pi^t : \mathcal{A}^o \rightarrow (\mathcal{A}^t)^o$  the projection to the first factor and  $\pi^{nt} : \mathcal{A}^o \rightarrow (\mathcal{A}^{nt})^o$  the projection to the second factor. After replacing  $K$  by a further finite extension and  $B$  by its normalization, we may assume that  $(\mathcal{A}^t)^o = A^{\bar{K}/\mathbb{C}} \times B^o$ . Note that  $\pi^t|_{\mathcal{A}_b^t} : \mathcal{A}_b^t \rightarrow A^{\bar{K}/\mathbb{C}}$  is an isomorphism for every fiber  $\mathcal{A}_b^t$  with  $b \in B^o$ .

By Proposition 3.2-(i), the generic fibers of  $\pi^t(X^o)$  and  $\pi^{nt}(X^o)$  are small. Corollary 3.4 shows that  $\pi^t(X^o) = Y \times B^o$  for some subvariety  $Y$  of  $A^{\bar{K}/\mathbb{C}}$ . Section 5.2 shows that the geometric generic fiber of  $\pi^{nt}(X^o)$  is a torsion coset  $a + \mathcal{A}'$  for some torsion point  $a \in A^{\bar{K}/\mathbb{C}}$  and some abelian subvariety  $\mathcal{A}'$ . Replacing  $K$  by a finite extension, we may assume that  $a$  and  $\mathcal{A}'$  are defined over  $K$ . We have that  $X^o \subseteq \pi^t(X) \times_{B^o} \pi^{nt}(X) = \pi^t(X) + \pi^{nt}(X)$  and we only need to show that  $X^o = \pi^t(X) \times_{B^o} \pi^{nt}(X)$ .

For every  $b \in B^o$ ,  $\mathcal{A}_b = \mathcal{A}_b^t \times \mathcal{A}_b^{nt}$ . The monodromy on  $\mathcal{A}_b$  is the diagonal product of the monodromies on each factor. It is trivial on the first one so, for every  $x \in \mathcal{A}_b^t$ , the fiber  $\pi^t|_{\mathcal{A}_b^t}^{-1}(x) \simeq \mathcal{A}_b^{nt}$  is invariant under  $\Gamma$ . It follows that  $\pi^t|_{\mathcal{A}_b^t}^{-1}(x) \cap X_b$  is also  $\Gamma$ -invariant. By Theorem 4.10,  $\pi^{nt}(\pi^t|_{\mathcal{A}_b^t}^{-1}(x) \cap X_b) \subseteq \pi^{nt}(X_b)$  is a torsion coset of the abelian variety  $\mathcal{A}_b^{nt}$ . Since the set of all torsion cosets of  $\pi^{nt}(X_b)$  is countable,  $\pi^{nt}(\pi^t|_{\mathcal{A}_b^t}^{-1}(x) \cap X_b)$  does not depend on  $x \in \pi^t(X_b)$ . Hence,  $X_b = \pi^t(X_b) \times \pi^{nt}(X_b)$  for all  $b \in B^o$ . Then  $X^o = \pi^t(X) \times_{B^o} \pi^{nt}(X)$  which concludes the proof.

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