

# Separability of surface subgroups for 3-manifold groups

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“ The **topology** of 3–manifolds is largely determined by their fundamental **groups**.”

- A **3–manifold group** is the fundamental group of a connected 3–manifold. It determines the homeomorphism type of the 3–manifold if the 3–manifold is **orientable, closed, and aspherical**.
- A **surface subgroup** of a 3–manifold group is a subgroup isomorphic to the fundamental group of a connected closed surface. The inclusion determines a map (or an **immersion**) of the surface into the 3–manifold up to homotopy.
- The surface subgroup being **separable** roughly means that the immersion is **virtually embedded**.

We wonder that ...

Given an **immersion**

$$S \looparrowright M$$

provided

- $M$  — a 3-manifold &
- $S$  — a closed surface

**whether** there exists

- $\tilde{M}$  — a **finite cover** of  $M$

such that the immersion lifts to be an **embedding**

$$S \hookrightarrow \tilde{M}.$$

We actually wonder that ...

Given a  $\pi_1$ -**injective immersion**

$$S \looparrowright M$$

provided

- $M$  — an **orientable, closed, aspherical** 3-manifold &
- $S$  — a **possibly nonorientable** closed surface

**whether** there exists

- $\tilde{M}$  — a **finite cover** of  $M$

such that the immersion lifts to be an **embedding**

$$S \hookrightarrow \tilde{M}$$

**up to homotopy.**

We actually wonder that ...

Given an **essential immersion**

$$S \looparrowright M$$

provided

- $M$  — an **orientable, closed, aspherical** 3-manifold &
- $S$  — a **possibly nonorientable** closed surface

**whether** there exists

- $\tilde{M}$  — a **finite cover** of  $M$

such that the immersion lifts to be an **embedding**

$$S \hookrightarrow \tilde{M}$$

**up to homotopy.**

“ When is an **essentially immersed** subsurface of a 3-manifold **virtually embedded**? ”

## \* Where does the question come from?

Particularly useful are **essentially embedded** subsurfaces, cutting along which induces nice decompositions of the 3-manifold and its fundamental group.

A 3-manifold which contains an essentially embedded subsurface is called a **Haken manifold**.

## Virtual Haken Conjecture (VHC)

“ Every closed 3-manifold with an infinite fundamental group has a finite cover which is Haken. ”

- proposed by F. Waldhausen in 1970s
- proved by I. Agol in 2012



# \* The story about VHC

The hard case of VHC is for **hyperbolic** closed 3-manifolds.

Kahn–Markovic (2009): “ There are **essentially immersed** closed subsurfaces in such 3-manifolds. ”

VHC is implied if we know that essentially immersed closed subsurfaces are **virtually embedded** in **this** case.

Agol (2012): “ Yes, indeed. ”

## \* The botany of 3-manifolds

Closed 3-manifolds are connected sum of prime manifolds.  
And prime manifolds are geometric or non-geometric.

### The Geometric–JSJ Decomposition

“ Non-geometric orientable closed aspherical 3-manifold can be cut into **hyperbolic** or **Seifert fibered** pieces along a canonical minimal collection essentially embedded **tori**.”

A non-geometric 3-manifold is a **graph manifold** if all the pieces are Seifert fibered, otherwise it is a **mixed manifold**.

For **geometric** 3-manifolds, all essentially immersed subsurfaces are virtually embedded. These include

- all hyperbolic 3-manifolds
- all Seifert fibered spaces
- torus bundles or semi-bundles with Anosov monodromy

Rubinstein–Wang (1998): “ There are **graph manifolds** which contain essentially immersed subsurfaces that are **not** virtually embedded! ”

# Separability in a nutshell

Given a group  $G$ , a subgroup  $H$  is said to be **separable** if

- for any element  $g \notin H$  of  $G$ ,  
there exists a **finite quotient**  $\phi : G \rightarrow Q$   
under which  $\phi(g) \notin \phi(H)$ .

For surface subgroups of 3-manifold groups,

Scott (1978): “ **Separability** implies **virtual embedded-ness**. ”

Przytycki–Wise (2013): “ And the **converse** is true, too. ”

Now we have a satisfactory dictionary.

“ When is a **surface subgroup** of a 3–manifold group  
**separable?** ”

For an essentially immersed subsurface of a non-geometric 3-manifold, there exists a **canonically induced decomposition**,

- cutting the surface along a minimal collection of simple closed curves into pieces which are properly immersed in the JSJ pieces of the 3-manifold.

For an essentially immersed subsurface of a non-geometric 3-manifold, there exists a **canonically induced decomposition**,

- cutting the surface along a minimal collection of **edge curves** into **vertex subsurfaces** which are **carried by** the JSJ pieces of the 3-manifold.

# Types of vertex subsurfaces

A vertex subsurface carried by a **Seifert fibered** piece is

- **vertical** or
- **horizontal**

A vertex subsurface carried by a **hyperbolic** piece is

- **quasi-Fuchsian** or
- **geometrically infinite**

Morally, vertical and quasi-Fuchsian subsurfaces share the feature of being **quasi-convex**; horizontal and geometrically infinite subsurfaces share the feature of being **virtually fibered**.



Vertex subsurfaces are all virtually embedded in the carrier pieces.

The surface is virtually embedded if no horizontal or geometrically infinite vertex subsurfaces present.

Introduce the **almost fiber part** of the immersed surface to be the union of all **horizontal** and **geometrically infinite** vertex subsurfaces glued along all edge curves between them.

A nontrivial lemma shows that the surface must be virtually embedded as long as the almost fiber part is virtually embedded.

“ **How** exactly does an almost fiber fail to be virtually embedded? ”

Picture in the cover corresponding to  $\pi_1(S)$ 

Denote

- $S \looparrowright M$  — an essential immersion
- $\Phi(S) \subset S$  — the almost fiber part

The immersion lifts to be an embedding into the covering space  $\tilde{M}_S$  corresponding to the image of  $\pi_1(S)$ :

$$S \hookrightarrow \tilde{M}_S.$$

Now each lifted vertex subsurface  $S_v$  is carried by a  $\tilde{J}_v \subset \tilde{M}_S$ .

Gluing the  $\tilde{J}_v$  accordingly, the lifted  $\Phi(S)$  is carried by a canonical submanifold:

$$\Phi(S) \hookrightarrow \tilde{M}_{\Phi(S)}.$$

# What restricts the gluing

There exists a **flow** on

$$\tilde{J}_V \cong S_V \times \mathbb{R}$$

which is **unique** up to rescaling the flow speed.

If  $\Phi(S)$  is virtually fibered, then  $\tilde{M}_{\Phi(S)}$  must have a compact subsurface parallel to the lifted  $\Phi(S)$ , which is obtained by gluing other slices of those  $\tilde{J}_V$ .

Suppose we decide to match the time-1 slices to produce the desired parallel subsurface, then we have to **rescale the flow speed** to make the slices match along common boundary. It works only if a cocycle condition of compatibility is satisfied.

## Theorem (L. 2015)

*Let  $S$  be an essentially immersed closed subsurface of a closed orientable aspherical 3-manifold  $M$ . Denote by  $\Phi(S)$  the almost fiber part of  $S$ .*

*Then there exists a naturally induced homomorphism:*

$$\sigma : H^1(\Phi(S); \mathbb{Z}) \longrightarrow \mathbb{Q}^\times,$$

*which depends only on the immersion. Moreover,  $S$  is virtually embedded if and only if the invariant  $\sigma$  takes values in  $\{\pm 1\}$ .*

“ Is the invariant **reasonably** calculable? ”

The invariant is **algorithmically computable**.  
With appropriate input, separability of surface subgroup for  
3-manifold groups is **decidable**.

# A more delicate answer

If we know **how** the vertex subsurfaces of the almost fiber part **are virtually fibered**, then the invariant can be **efficiently** calculated.

For example, if  $M$  is the mapping torus of a surface automorphism with **the suspension flow** that is “standard” in each JSJ piece, and if  $S$  is **transverse** to the flow. Then the invariant can be computed using the degeneracy slopes on the boundary of the JSJ pieces, in a form closely similar to Rubinstein–Wang’s original formula.



## Corollary

*Let  $\phi : F \rightarrow F$  be an autormorphism of a closed orientable surface. If some fractional Dehn twist coefficient along a Nielsen–Thurston reduction curve does not vanish, then the mapping torus  $M_\phi$  contains an essentially immersed subsurface which is not virtually embedded.*

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